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1-c961 U.S. PTO

1-c903 U.S. PTO
09/902026
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UTILITY PATENT APPLICATION TRANSMITTAL

Under Small Entity Status

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

8303/3

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of inventors **Dr. Suhayva Abu-Hakima and Connie P. McFarland**,
entitled **Concept-Based Message/Document Viewer for Electronic Communications and Internet Searching**, for
an:

☒ **Original Patent Application.**

☐ **Continuing Application (prior application not abandoned):**

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ Regular Filing of Provisional
of prior application No. _____ filed on _____.
- ☐ A statement claiming priority under 35 USC § 120 has been added to the specification.

☒ **Applicant claims small entity status.**

Enclosed are:

- ☒ **Specification; 28 Total Pages (including title page)** ☒ **Drawing(s) 8 Total Sheets.**
- ☒ **Oath or Declaration:**
- ☒ **A Newly Executed Combined Declaration and Power of Attorney:**
- ☒ **Signed.** ☐ **Unsigned.** ☐ **Partially Signed.**
- ☐ A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
- ☐ Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied, is considered as being part of the disclosure of the accompanying
application and is hereby incorporated herein by reference.
- ☐ Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- ☐ Power of Attorney. ☒ **Return receipt postcard.**
- ☐ Associate Power of Attorney. ☒ **A check in the amount of \$355 for the filing fee.**
- ☐ Preliminary Amendment. ☐ Information Disclosure Statement and Form PTO-1449.
- ☐ A Certified Copy of Priority Documents (if foreign priority is claimed).
- ☐ Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
- ☒ **Other: Assignment, Assignment Cover Sheet, and check in the amount of \$40 for the recordation fee**


CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	14 - 20	0	\$9.00	\$ 0.00
Independent Claims	2 - 3	0	\$40.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Basic Filing Fee				\$ 355.00
Total Filing Fee				\$ 355.00

☒ **The Commissioner is hereby authorized to charge payment of the following fees associated with this communication
or credit any overpayment to Deposit Account No. 50-0930. A duplicate copy of this sheet is enclosed.**

☒ **Any additional filing fees required under 37 CFR § 1.16.**

☐ Any patent application processing fees under 37 CFR § 1.17.

Respectfully submitted,

By: 
Michael H. Baniak, Reg. No. 30,608

Date: July 10, 2001

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I hereby certify that this is being deposited with the U.S. Postal
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